

MAR. 14, 2003 10:00AM WATTS, HOFFMAN&amp;FISHER

NO. 317 P.1

PCT

DT17 REC'D PCT/PTO 14 MAR 2003

**WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.**  
**ATTORNEYS AT LAW**

<b>U.S. POSTAL ADDRESS:</b> P.O. Box 99839 Cleveland, Ohio 44199-0839	<b>BUILDING ADDRESS:</b> 1100 Superior Avenue, Suite 1750 Cleveland, Ohio 44114-2518
---	--

TELEPHONE (216) 241-6700  
 FACSIMILE (216) 241-8151  
 E-MAIL: [IPLAW@WATTSHOFF.COM](mailto:IPLAW@WATTSHOFF.COM)

**FACSIMILE TRANSMITTAL**

To:	U.S. Patent and Trademark Office
Organization:	Initial Patent Examination Filing Receipt Corrections
Facsimile No.:	703-746-9195
From:	Stephen J. Schultz, Esq.
Date:	March 14, 2003
Our File No.:	14-694 (U.S. Serial No. 09/700,606)
No. of pages: (including this cover pg.)	27

MESSAGE:

**URGENT!**

Dear Sir/Madam: The above-identified patent application has been allowed. We have previously submitted six requests for a corrected filing receipt to correct priority information. All requests have gone unanswered and are attached for your reference. Since this case is getting ready for issuance, please forward a corrected filing receipt corresponding to our previous requests as quickly as possible. Obviously, we want to have the patent issue with correct priority information. Thank you for your attention to this matter.

(if checked) A confirmation copy of this transmission will follow via U.S. mail.

**CONFIDENTIALITY NOTE**

The information accompanying this facsimile transmission may be confidential, privileged, and exempt from disclosure under applicable law. This information is intended only for the individual or entity identified on this transmittal. If you are not the intended recipient, be aware that any disclosure, dissemination, copying or distribution, or use of this information is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone (collect), so that we can arrange for the retrieval of the transmitted facsimile page(s). Thank you.

**WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.**  
**ATTORNEYS AT LAW**

**U.S. POSTAL ADDRESS:**  
P.O. Box 99839  
Cleveland, Ohio 44199-0839

**BUILDING ADDRESS:**  
1100 Superior Avenue, Suite 1750  
Cleveland, Ohio 44114-2518

**TELEPHONE (216) 241-6700**  
**FACSIMILE (216) 241-8151**

**FACSIMILE TRANSMITTAL**

To:	U.S. PTO
Organization:	Filing Receipt Corrections
Facsimile No.:	703-746-9195
From:	Steve Schutzy
Date:	11/5/03
Our File No.:	14-694-
No. of pages: (including this cover pg.)	9

MESSAGE:

REMINIDER 01/02/03

(if checked) A confirmation copy of this transmission will follow via U.S. mail.

**CONFIDENTIALITY NOTE**

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A copy of the original filing receipt is enclosed which shows the necessary corrections. A corrected filing receipt is again respectfully requested.

Respectfully submitted,

Date: Nov 5, 2002

Telephone: 216-241-6700  
Facsimile: 216-241-8151

  
Stephen S. Schultz  
Reg. No. 29,108

MAR. 14. 2003 10:01AM WATTS,HOFFMAN&FISHER

NO.317 P.4  
P. 1

\* \* \* TRANSSION RESULT REPORT ( JAN. 6.2003 2:07PM ) \* \* \*

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DATE	TIME	ADDRESS	MODE	TIME	PAGE	RESULT	PERS. NAME	FILE
JAN. 6.	2:06PM	USPTO TES		1'04"	P. 3	OK		053

# : BATCH  
M : MEMORY  
S : STANDARD  
\* : PC

C : CONFIDENTIAL  
L : SEND LATER  
D : DETAIL  
% : PC DIRECT

\$ : TRANSFER  
@ : FORWARDING  
F : FINE

P : POLLING  
E : ECM  
> : REDUCTION

MAR. 14. 2003 10:02AM WATTS, HOFFMAN&FISHER

NO. 317: P.5

TRANSMISSION RESULT REPORT (NOV 05 '02 03:57PM)

WA, HOFFMAN&FISHER

(AUTO)

THE FOLLOWING FILE(S) ERASED

FILE	FILE TYPE	OPTION	TEL. NO.	PAGE	RESULT
091	TRANSMISSION		8170374691954254	09	OK

ERRORS

- 1) HANG UP OR LINE FAIL    2) BUSY    3) NO ANSWER    4) NO FACSIMILE CONNECTION

PATENT APPLICATION

Attorney Docket No.: 14-694  
Client: 4054

Applicant(s): Testing Services et al  
Title: Method of Producing  
Serial No.: 09/706046 Filed: 13/11/01, Patent No.: \_\_\_\_\_  
Mailing Date: 8/19/02, Initials: KB

Receipt is hereby acknowledged of the following:

Transmittal (   pages)  
 Provisional Application for Patent Cover Sheet  
 Request for Filing a Patent Application under 37 CFR 1.53 (b) (   pages)  
 Request for Filing a Patent Application under 37 CFR 1.53 (d) (   pages)  
 Patent Application (   total pages)  
     Provisional,  New Nonprovisional  
     Continuation,  Divisional,  CIP,  
     PCT,  \_\_\_\_\_  
    \_\_\_\_ cover page  
    \_\_\_\_ pages of specification  
    \_\_\_\_ pages of \_\_\_\_ number of claims  
    \_\_\_\_ pages of abstract  
 Drawings (   sheets)  
 Declaration(s) with Power of Attorney  
    (   pages)  
 Check(s) in Amount of \$ \_\_\_\_\_

Assignment(s) (   pages)  
 Assignment Cover Sheet(s) (   pages)  
 Small Entity Statement (   pages)  
 Number of Priority Document(s) \_\_\_\_\_  
 Response to Notice to File Missing Parts  
    (   pages)  
 Copy of Notice to File Missing Parts  
    Form PTO-1533  
 Information Disclosure Statement (   pages)  
     PTO Form 1449 (   pages)  
 \_\_\_\_\_ Number of Documents  
 Petition for a \_\_\_\_ Month Extension of Time  
 Preliminary Amendment (   pages)  
 Amendment (   pages)  
 Notice of Appeal (   pages)  
 Appeal Brief in Triplicate (   pages each)  
 Issue Fee Transmittal PTO-85B & Copy  
 Maintenance-Fee Transmittal (   pages)  
 Request for Continued Examination

*REC'D 2/6/02  
PATENT & TRADEMARK OFFICE  
2nd Review postcard being placed app  
of Assessments*

T C

**PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION: **Usama Fayyad et al.**

Serial No. **09/700,606**

Filed: **January 31, 2001**

Title: **SCALABLE SYSTEM FOR CLUSTERING OF LARGE DATABASES HAVING  
MIXED ATTRIBUTES**

Docket No. **14-694 - 4254**

**WATTS, HOFFMANN, FISHER  
& HEINKE, CO., L.P.A.  
1100 Superior Avenue, Ste. 1750  
Cleveland, Ohio 44114**

Assistant Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Applicant's attorney filed a request for a corrected filing receipt on February 7, 2002. To date, a corrected filing receipt has not yet been received.

As evidenced by the enclosed copy of page one of the specification, specifically, the first two paragraphs which have been highlighted for your convenience, and the enclosed copy of the Declaration and Power of Attorney, the correct domestic priority data as claimed by applicant should read as follows:

**THIS APPLICATION IS A 371 OF PCT/US99/06717 03/29/1999**

**WHICH IS A CIP OF 09/083,906 05/22/1998**

**WHICH CLAIMS BENEFIT OF 60/086,410,05/22/1998**

I hereby certify that this paper is being deposited with the  
U.S. Postal Service as First Class Mail addressed to the  
Assistant Commissioner of Patents, Washington, D.C. 20231

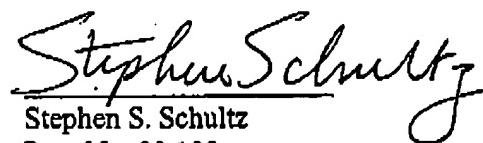
on 8/19/03  
By Usama Fayyad

A copy of the original filing receipt is enclosed which shows the necessary corrections.  
A corrected filing receipt is respectfully requested.

Respectfully submitted,

Date: Aug 15, 2002.

Telephone: 216-241-6700  
Facsimile: 216-241-8151

  
Stephen S. Schultz  
Reg. No. 29,108

PATENT APPLICATION

Attorney Docket No: 14194  
Client: 4354

Applicant(s): Dixayad et al.  
Title: Data entry system  
Serial No.: 09/740,001, Filed: 1/3/01, Patent No.:   

Mailing Date: 3/17/02, Initials: LS

Receipt is hereby acknowledged of the following:

Transmittal (   pages)  
 Provisional Application for Patent Cover Sheet  
 Request for Filing a Patent Application under 37 CFR 1.53 (b) (   pages)  
 Request for Filing a Patent Application under 37 CFR 1.53 (d) (   pages)  
 Patent Application (   total pages)  
     Provisional,  New Nonprovisional  
     Continuation,  Divisional,  CIP,  
     PCT,  \_\_\_\_\_  
    \_\_\_\_ cover page.  
    \_\_\_\_ pages of specification  
    \_\_\_\_ pages of \_\_\_\_ number of claims  
    \_\_\_\_ pages of abstract  
 Drawings (   sheets)  
 Declaration(s) with Power of Attorney (   pages)  
 Check(s) in Amount of \$ \_\_\_\_\_

Assignment(s) (   pages)  
 Assignment Cover Sheet(s) (   pages)  
 Small Entity Statement (   pages)  
 Number of Priority Document(s)  
 Response to Notice to File Missing Parts (   pages)  
 Copy of Notice to File Missing Parts Form PTO-1533  
 Information Disclosure Statement (   pages)  
     PTO Form 1449 (   pages)  
     Number of Documents  
 Petition for a \_\_\_\_ Month Extension of Time  
 Preliminary Amendment (   pages)  
 Amendment (   pages)  
 Notice of Appeal (   pages)  
 Appeal Brief in Triplicate (   pages each)  
 Issue Fee Transmittal PTO-8SB & Copy  
 Maintenance Fee Transmittal (   pages)  
 Request for Continued Examination

Request for Correction of filing Receipt  
with attachments

U.S. PATENT & TRADEMARK OFFICE  
RECEIVED  
MARCH 22 2002  
JCB

**PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**IN RE APPLICATION:** Usama Fayyad et al.

**Serial No.** 09/700,606

**Filed:** January 31, 2001

**Title:** SCALABLE SYSTEM FOR CLUSTERING OF LARGE DATABASES HAVING MIXED ATTRIBUTES

**Docket No.** 14-694 - 4254

**WATTS, HOFFMANN, FISHER  
& HEINKE, CO., L.P.A.  
1100 Superior Avenue, Ste. 1750  
Cleveland, Ohio 44114**

Assistant Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

As evidenced by the enclosed copy of page one of the specification, specifically, the first two paragraphs which have been highlighted for your convenience, and the enclosed copy of the Declaration and Power of Attorney, the correct domestic priority data as claimed by applicant should read as follows:

**THIS APPLICATION IS A 371 OF PCT/US99/06717 03/29/1999**

**WHICH IS A CIP OF 09/083,906 05/22/1998**

**WHICH CLAIMS BENEFIT OF 60/086,410 05/22/1998**

It is hereby certified that the above application was filed by the U.S. Postal Service at the U.S. Patent and Trademark Office on March 14, 2003, to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 3/17/03  
by: Tharek Bussey

A copy of the original filing receipt is enclosed which shows the necessary corrections. A corrected filing receipt is respectfully requested.

Respectfully submitted,

Date: Feb 7, 2002

Telephone: 216-241-6700  
Facsimile: 216-241-8151

  
Stephen S. Schultz  
Stephen S. Schultz  
Reg. No. 29,108

14341  
4251

**PATENT APPLICATION**

Attorney Docket No. \_\_\_\_\_  
Client No. \_\_\_\_\_  
Patent No. \_\_\_\_\_

Applicant(s): *USA Inc. Saipan et al.*  
Title: *Mobile Database*  
Serial No.: *09/1700605* Filed: *11/3/02* Initials: *JL*

Mailing Date: *11/12/02*

Receipt is hereby acknowledged of the following:

Transmittal (   pages)  
 Provisional Application for Patent Cover Sheet  
 Request for Filing a Patent Application under 37 CFR 1.53 (b) (   pages)  
 Request for Filing a Patent Application under 37 CFR 1.53 (d) (   pages)  
 Patent Application (   total pages)  
 Provisional,  New Nonprovisional,  CIP,  
 Continuation,  Divisional,  
 PCT,   
   cover page  
   pages of specification  
   pages of    number of claims  
   pages of abstract  
 Drawings (   sheets)  
 Declaration(s) with Power of Attorney (   pages)  
 Check(s) in Amount of \$   

Assignment(s) (   pages)  
 Assignment Cover Sheet(s) (   pages)  
 Small Entity Statement (   pages)  
 Number of Priority Document(s) (   pages)  
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 Appeal Brief in Triplicate (   pages each)  
 Issue Fee Transmittal PTOL-85B & Copy  
 Maintenance Fee Transmittal (   pages)  
 Request for Continued Examination

*X Status of Corrected filing Receipt  
X Attachments - 10 pages*

JAN 29 2002  
PATENT & TRADEMARK OFFICE  
O I P E

**PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**IN RE PATENT APPLICATION:**

Usama Fayyad et al.

Serial No.: 09/700,606 Group Art Unit: 2121

Filed: January 31, 2001 Examiner: Not yet assigned

Title: SCALABLE SYSTEMS FOR CLUSTERING OF  
LARGE DATABASES HAVING MIXED ATTRIBUTES

Docket No.: 14-694 - 4254

Watts, Hoffmann, Fisher  
& Heinke Co., L.P.A.  
1100 Superior Ave., Ste. 1750  
Cleveland, OH 44114  
(216) 241-6700 - telephone  
(216) 241-8151 - facsimile

Assistant Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D. C. 20231

**STATUS REQUEST REGARDING CORRECTED FILING RECEIPT**

Dear Sir:

A request for a corrected filing receipt was filed in the U.S. Patent Office on June 1, 2001, a copy of the submitted documents are enclosed. It is respectfully requested that the Patent and Trademark Office indicate when it will issue a corrected filing receipt.

Respectfully submitted,

*Stephen J. Schultz*  
Stephen J. Schultz  
Reg. No. 29,108

Date June 7, 2002  
U.S. Patent and Trademark Office  
P.O. Box 1450, Washington, D.C. 20231  
U.S. Postal Service, Washington, D.C. 20231  
Assistant Commissioner of Patents, Washington, D.C. 20231

cc: 17103  
B2: Usama Fayyad

14164  
20701  
PTO-1533  
U.S. PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Attorney Docket No.: *14164*  
Client: *20701*

Patent No.: *300 03 2001*

Applicant(s): *Business Drywall et al.*  
Title: *Drivable support material*  
Serial No.: *09/779,700* Filed: *11/21/00* Initials: *MB*

Mailing Date: *11/21/01*

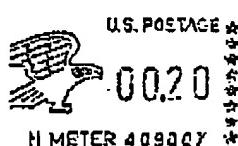
Receipt is hereby acknowledged of the following:

Transmittal (   pages)  
 Provisional Application for Patent Cover Sheet  
 Request for Filing a Patent Application under 37 CFR 1.53 (b) (   pages)  
 Request for Filing a Patent Application under 37 CFR 1.53 (d) (   pages)  
 Patent Application (   total pages)  
 Provisional,  New Nonprovisional,  Continuation,  Divisional,  CIP,  PCT,   
       cover page  
       pages of specification  
       pages of    number of claims  
       pages of abstract  
 Drawings (   sheets)  
 Declaration(s) with Power of Attorney (   pages)  
 Check(s) in Amount of \$     
 *Business Drywall et al.*  
 *and attachment*

Assignment(a) (   pages)  
 Assignment Cover Sheet(s) (   pages)  
 Small Entity Statement (   pages)  
 Number of Priority Document(s)  
 Response to Notice to File Missing Parts (   pages)  
 Copy of Notice to File Missing Parts Form PTO-1533  
 Information Disclosure Statement (   pages)  
 PTO Form 1449 (   pages)  
 Number of Documents  
 Petition for a    Month Extension of Time  
 Preliminary Amendment (   pages)  
 Amendment (   pages)  
 Notice of Appeal (   pages)  
 Appeal Brief in Triplicate (   pages each)  
 Issue Fee Transmittal PTO-L-658 & Copy  
 Maintenance Fee Transmittal (   pages)  
 Request for Continued Examination

*Business Drywall et al.*  
*and attachment*

**RECEIVED**  
JUN 12 2001



WATTS, HOFFMANN, FISHER & HEINKE  
**WATTS, HOFFMANN, FISHER  
& HEINKE CO., L.P.A.**  
SUITE 1750  
1100 SUPERIOR AVENUE  
CLEVELAND, OHIO 44114-2518

**A Scalable System for Clustering of Large Databases Having Mixed Data Attributes**

**Cross Reference to Related Applications**

The present invention is a continuation in part of co-pending United States  
5 patent application serial number 09/083,906 entitled "A Scalable System for  
Expectation Maximization Clustering of Large Databases" to Fayyad et al having a  
filing date of May 22, 1998, and which is assigned to the assignee of the present  
application and is incorporated herein by reference.

Priority is claimed from provisional United States patent application serial  
10 number 60/086,410 filed May 22, 1998.

**Field of the Invention**

The present invention concerns database analysis and more particularly  
concerns an apparatus and method for clustering of data into groups that capture  
15 important regularities and characteristics of the data.

**Background Art**

Large data sets are now commonly used in most business organizations. In  
fact, so much data has been gathered that asking even a simple question about the data  
20 has become a challenge. The modern information revolution is creating huge data  
stores which, instead of offering increased productivity and new opportunities, can  
overwhelm the users with a flood of information. Tapping into large databases for  
even simple browsing can result in a return of irrelevant and unimportant facts. Even  
people who do not 'own' large databases face the overload problem when accessing  
25 databases on the Internet. A large challenge now facing the database community is  
how to sift through these databases to find useful information.

Existing database management systems (DBMS) perform the steps of reliably  
storing data and retrieving the data using a data access language, typically SQL. One  
major use of database technology is to help individuals and organizations make  
30 decisions and generate reports based on the data contained in the database.

An important class of problems in the areas of *decision support* and *reporting*  
are clustering (segmentation) problems where one is interested in finding groupings  
(clusters) in the data. Data clustering has been used in statistics, pattern recognition,

*Attorney's Docket No. 14-694**Patent*

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### A SCALABLE SYSTEM FOR CLUSTERING OF LARGE DATABASES MIXED DATA ATTRIBUTES

the specification of which:

is attached hereto.  
 was filed on as United States Patent Application Number and was amended on (if applicable).  
 X was filed on March 29, 1999 as PCT International Application Number PCT/US99/06717.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56. Under that section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or (2) it refutes, or is inconsistent with, a position the applicant takes in: (i) opposing an argument of unpatentability relied on by the United States Patent and Trademark Office, or (ii) asserting an argument of patentability.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application(s) which designated at least one country other than the United States, listed below. I have also identified below any corresponding foreign application(s) for patent or inventor's certificate or any corresponding PCT international application(s) having a filing date before that of the application(s) on which priority is claimed.

#### Prior Foreign Application(s)

Number	Country	Filing Date (mm/dd/yyyy)	Priority Claimed (Yes or No)
PCT/US99/06717	PCT	29 March 1999	Yes

Express Mail Label No. EZ391520593US  
 I hereby certify that this paper is being deposited with the U.S. Postal Service as Express Mail addressed to the Assistant Commissioner for Patents Washington, D.C. 20231

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

United States Provisional Application(s)

Application Number	Filing Date (mm/dd/yyyy)
60/086,410	May 22, 1998

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(e) of any PCT international application(s) designating the United States, listed below. Insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

United States Application(s) Upon Which Priority is Claimed

Application Number	Filing Date (mm/dd/yyyy)	Status (abandoned, pending, patent number)
09/083,906	May 22, 1998	Pending

I hereby appoint the following attorney(s) and/or agent(s), all of whom are associated with Watts, Hoffmann, Fisher & Heinke Co., L.P.A., having offices at 1100 Superior Avenue East, Suite 1750, Cleveland, Ohio 44114-2518, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

Thomas E. Fisher, Reg. No. 18,271  
 Stephen J. Schultz, Reg. No. 29,108  
 Lowell L. Heinke, Reg. No. 19,471  
 John R. Hlavka, Reg. No. 29,076  
 George L. Pinchak, Jr., Reg. No. 37,697

Linn J. Raney, Reg. No. 23,078  
 Paul A. Serbinowski, Reg. No. 34,429  
 Richard A. Sharpe, Reg. No. 34,722  
 James G. Watterson, Reg. No. 20,180  
 Peter R. Hagerty, Reg. No. 42,618  
 Kenneth J. Smith, Reg. No. 45,115

and the following attorney(s), all of whom are associated with Microsoft Corporation having an address of One Microsoft Way, Redmond, Washington 98052-6399;

Daniel D. Crouse, Reg. No. 32,022      Katie E. Sako, Reg. No. 32,628

to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and I hereby designate the foregoing Stephen J. Schultz, Reg. No. 29,108, principal attorney.

This appointment shall include all power to prosecute and transact all business relating to all applications corresponding to this application in all countries, including all regional and international patent offices, such as, but not limited to, the European Patent Office and all offices and bureaus established in accordance with the Patent Cooperation Treaty.

I hereby further designate and appoint any officer of Watts, Hoffmann, Fisher & Heinke Co., L.P.A. my attorney in fact with full power of substitution and revocation, including power to designate a substitute principal attorney.

Address all correspondence to:

WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.  
1100 Superior Ave., Ste. 1750  
Cleveland, Ohio 44114-2518

Direct all telephone calls to Stephen J. Schultz at (216) 241-6700 and direct all facsimile correspondence to (216) 241-8151.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Full Name of Sole or First Joint Inventor: Usama Fayyad	
Inventor's Signature:	Date:
Residence: Mercer Island, Washington	Citizenship: United States of America
Post Office Address: 9705 SE 43 <sup>rd</sup> Street, Mercer Island, WA 98040	

Full Name of Second Joint Inventor: Paul S. Bradley	
Inventor's Signature:	Date:
Residence: Seattle, Washington	Citizenship: United States of America
Post Office Address: 600 Lake Washington Blvd., East, Apt #C, Seattle, WA 98112	

Full Name of Third Joint Inventor: Cory A. Reina	
Inventor's Signature:	Date:
Residence: Kirkland, Washington	Citizenship: United States of America
Post Office Address: 12107 86 <sup>th</sup> Avenue, NE, Kirkland, WA 98034	

Page 1 of 4

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/700,606	01/31/2001	2121	1152	14-694-4254	8	34	4

CONFIRMATION NO. 8142

Watts Hoffmann Fisher & Heinke Company  
 Suite 1750  
 1100 Superior Avenue  
 Cleveland, OH 44114-2518

APR 27 2001

## FILING RECEIPT



\*0C00000005992681\*

Date Mailed: 04/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Usama Fayyad, Mercer Island, WA;  
 Paul S. Bradley, Seattle, WA;  
 Cory A. Reina, Kirkland, WA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US99/06717 03/29/1999 ✓

WHICH IS A CIP OF 60/083,906 05/01/1998

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05/22/98

Projected Publication Date: N/A

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Early Publication Request: No

## Title

Scalable system for clustering of large databases having mixed data attributes

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NO. 317 P. 22

Page 2 of 4

**Preliminary Class**

700

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Data entry by : NGUYEN, SON

Team : OIPE

Date: 04/24/2001



14-694  
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PATENT APPLICATION	
Applicant(s): <i>Tessma Javed et al</i>	Attorney Docket No.: <i>14-694</i>
Title: <i>Method and apparatus for</i>	Client: <i>PTO</i>
Serial No.: <i>09/974,021</i>	Patent No.: <i>JAN 8 2003</i>
Mailing Date: <i>6/1/01</i>	Initials: <i>JMB</i>
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/700,606	01/31/2001	2121	1152	14-694-4254	8	34	4

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## FILING RECEIPT



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Watts Hoffman Fisher & Heinke Company  
 Suite 1750  
 1100 Superior Avenue  
 Cleveland, OH 44114-2518

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## Applicant(s)

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